

- As an Exempt Market Dealer, we are required to obtain, verify and record information that identifies each person and entity that opens an account. We may verify this information through public sources or ask to see other identifying documents.
- This form is only valid for Canadian residents of legal age. If the customer is a corporation, partnership or other entity, please use the Account Opening Application – Entity.
- To make a purchase, you must complete this form in full, on a one-time basis, and return it to Canada-Israel Securities, Limited (CISL) with any other supporting documentation that may be required.

FOR INTERNAL USE ONLY
Account No.:

1. Client Information

☐ Mr. ☐ Mrs. ☐ Ms. ☐ Miss ☐ Dr. ☐ Rabbi

First name – As shown on government issued identification	Middle name	Last name – As shown on government issued identification	Gender <input type="radio"/> M <input type="radio"/> F <input type="radio"/> Other
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Permanent home address (PO box not accepted)	City	Province	Postal code
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Previous home address (if less than 6 months at current address) (PO box not accepted)	City	Province	Postal code
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Mailing address (if different from above)	City	Province	Postal code
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Mobile phone	Home phone	Email address
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Date of birth (mm/dd/yyyy)	Social Insurance Number	Citizenship
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Marital Status ☐ Single ☐ Married* ☐ Divorced ☐ Widowed ☐ Common law* ☐ Civil union* *You are required to complete spousal information

2. Spousal Information

☐ Mr. ☐ Mrs. ☐ Ms. ☐ Miss ☐ Dr. ☐ Rabbi

First name – As shown on government issued identification	Middle name	Last name – As shown on government issued identification	Gender <input type="radio"/> M <input type="radio"/> F <input type="radio"/> Other
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Permanent home address (PO box not accepted)	<input type="radio"/> Same as above	City	Province	Postal code
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3. Employment Information

☐ Employed ☐ Self-employed ☐ Not employed ☐ Retired

Employer name	Start year
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Title

Occupation

Business address

City	Province	Postal code
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Business phone

4. Spousal Employment Information

☐ Employed ☐ Self-employed ☐ Not employed ☐ Retired

Employer name

Title

Occupation

5. Financial Information

Annual household net income	Net financial assets ¹ Includes spouse: <input type="radio"/> Yes <input type="radio"/> No	Net assets ² Includes spouse: <input type="radio"/> Yes <input type="radio"/> No	Annual individual net income from all sources (such as employment, investment income, etc.). Please select the lowest amount per year for the past two years.
<input type="radio"/> under \$125,000	<input type="radio"/> under \$100,000	<input type="radio"/> under \$100,000	<input type="radio"/> under \$75,000
<input type="radio"/> \$125,000 - \$300,000	<input type="radio"/> \$100,000 - \$500,000	<input type="radio"/> \$100,000 - \$400,000	<input type="radio"/> \$75,000 - \$200,000
<input type="radio"/> over \$300,000	<input type="radio"/> \$500,001 - \$1,000,000	<input type="radio"/> over \$400,000	<input type="radio"/> \$200,001 - \$500,000
	<input type="radio"/> over \$1,000,000		<input type="radio"/> \$500,001 - \$1,000,000
			<input type="radio"/> over \$1,000,000

1. Net financial assets means cash, securities, or a contract of insurance, a deposit or an evidence of a deposit minus related liabilities. Personal residence(s) excluded.

2. Net assets means total assets minus total liabilities (e.g. mortgage, line of credit, car loan, credit card balances). Includes personal residence.

6. Investment Experience and Objectives

Investment knowledge	My investment objectives ³ in Israel bonds will be for:	Israel bonds' investment objectives time horizon:	The ability to quickly and easily convert to cash, all, or a portion, of the investment in Israel bonds is:	My investment ⁴ in Israel bonds will be:	I have experience in investing and knowledge of the following:
<input type="radio"/> none	<input type="radio"/> growth	<input type="radio"/> under 2 years	<input type="radio"/> very important	<input type="radio"/> less than 10% of my investment portfolio	<input type="radio"/> mutual funds
<input type="radio"/> limited	<input type="radio"/> income	<input type="radio"/> 2-5 years	<input type="radio"/> somewhat important	<input type="radio"/> 10%-30% of my investment portfolio	<input type="radio"/> ETFs
<input type="radio"/> good	<input type="radio"/> capital preservation	<input type="radio"/> 6-10 years	<input type="radio"/> does not matter	<input type="radio"/> more than 30% of my investment portfolio, specify %: <input type="text"/>	<input type="radio"/> individual stocks
<input type="radio"/> excellent	<input type="radio"/> n/a - gift purchase	<input type="radio"/> more than 10 years	<input type="radio"/> n/a - gift purchase	<input type="radio"/> n/a - gift purchase	<input type="radio"/> individual bonds
		<input type="radio"/> n/a - gift purchase			<input type="radio"/> options
					<input type="radio"/> none
					<input type="radio"/> other: <input type="text"/>

3. Investment objectives defined:

Growth: Emphasis on generating capital appreciation rather than current income
Income: Emphasis on generating current income rather than capital appreciation
Capital preservation: Emphasis on preserving existing asset levels with preference for holding cash and/or cash equivalents

4. Investment portfolio includes all your investments (i.e., cash, stocks, bonds, mutual funds, options, RRSPs, RRFs, TFSA, RESPs, RDSPs) independent of how they are managed.

7. Additional Information (See next section for definitions.)

Does anyone have power of attorney over this account? ☐ Yes ☐ No
If yes, a copy of the POA is required.

If YES, name of the person and attach the POA:

Do you, individually or as part of a group, have participation of 10% or more in a public company? ☐ Yes ☐ No

If YES, name of the company, stock symbol, market:

Are you (or your spouse) a director or an officer of a company whose shares are traded on an exchange? ☐ Yes ☐ No

If YES, name of the company, stock symbol, market:

Is this person, or any one or more of the immediate family members or close associates of this person, or have you, or they ever been, (a) a domestic politically exposed person (**Domestic PEP**) in the past five years; (b) a foreign politically exposed person (**Foreign PEP**); and/or (c) the head of an international organization (**HIO**)? For definitions of Domestic PEP, Foreign PEP and HIO, see page 3.

☐ Yes ☐ No

If you answered "Yes" to one of the questions above, please provide details below:

Have you ever purchased an Israel bond before?

☐ Yes ☐ No

Name of CISL Sales Representative with whom you had contact (if applicable):

Trusted Contact Person Information – Please note that this does not constitute a Power of Attorney

A Trusted Contact Person, who must be at least 18 years old, is someone you designate that CISL may contact in case of emergency or incapacity. He or she is not authorized to transact business on your behalf. This authorization does not require or obligate CISL to share concerns or your information with the Trusted Contact Person(s). CISL may contact your Trusted Contact Person(s) under these circumstances:

- If we have concerns about your health (mental or physical);
- If we suspect financial exploitation is being committed against you;
- If we need to confirm the identity of any legal guardian, executor, trustee or holder of a power of attorney;
- If we have concerns about your whereabouts;
- If we have any other concerns or are unable to contact you.

You may provide to CISL one or more Trusted Contact Persons. Providing CISL with trusted contact information is optional. If you are providing a Trusted Contact Person, you must provide name, address, relationship, and either phone or email. You may add, update or remove a Trusted Contact Person at any time by contacting us.

Adding a Trusted Contact Person is optional, but if one is added, all fields are required.

Trusted Contact Person 1

First name	Middle name	Last name		
Address (PO box not accepted)		City	Province/State/County	Postal/ZIP Code
Phone Number		Email address		
Relationship to you				

Trusted Contact Person 2

First name	Middle name	Last name		
Address (PO box not accepted)		City	Province/State/County	Postal/ZIP Code
Phone Number		Email address		
Relationship to you				

Additional Definitions (As used in Section 7.)

Domestic PEP (Politically Exposed Person): A domestic PEP is a person who holds — or has held within the last five years — a specific office or position in or on behalf of the Canadian federal government, a Canadian provincial government, or a Canadian municipal government:

- Governor General, Lieutenant Governor or head of government;
- Member of the Senate or House of Commons or Member of a legislature;
- Deputy Minister or equivalent rank;
- Ambassador, or Attaché or Counsellor of an Ambassador;
- Military Officer with a rank of General or above;
- President of a corporation that is wholly owned directly by His Majesty in right of Canada or a province;
- Head of a government agency;
- Judge of an Appellate Court in a province, the Federal Court of Appeal or the Supreme Court of Canada;
- Leader or President of a political party represented in a legislature; or
- Mayor.

A person ceases to be a domestic PEP five years after they have left office.

Foreign PEP (Politically Exposed Person): A foreign PEP is a person who holds or has held one of the following offices or positions in or on behalf of a foreign state:

- Head of state or Head of government;
- Member of the Executive Council of Government or Member of a legislature;
- Deputy Minister or equivalent rank;
- Ambassador, or Attaché or Counsellor of an Ambassador;
- Military Officer with a rank of General or above;
- President of a state-owned company or a state-owned bank;
- Head of a government agency;
- Judge of a Supreme Court, Constitutional Court or other court of last resort; or
- Leader or President of a political party represented in a legislature.

These persons are foreign PEPs regardless of citizenship, residence status or birth place. A person determined to be a foreign PEP, is forever a foreign PEP.

Head of international organization: The head of an international organization is a person, for example a president or CEO, who is either:

- the Head of an international organization established by the governments or states; or
- the Head of an institution established by an international organization.

Once a person is no longer the head of the international organization, or the head of the institution established by an international organization, that person is no longer a head of an international organization.

"immediate family member" means a mother or father, child, spouse or common-law partner, spouse's or common-law partner's mother or father, brother, sister, half-brother or half-sister.

"close associate" of the Client means an individual in a close personal or business relationship with the Client, for example: the business partner or romantic partner of the Client, an individual who is involved in financial transactions with the Client, is a prominent member of the same political party as the Client, serves on the same board as the Client or closely carries out charitable works with the Client.

8. Acknowledgement and Consent (Please read and initial.)

Initial

☐

I understand that there is no secondary market for Israel bonds, that Israel bonds are not traded, and that in all circumstances, other than those specifically set forth in the prospectus or the offering memorandum or in certain other very limited circumstances as determined by the State of Israel, I must hold my Israel bond(s) until maturity to receive the principal.

Initial

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I acknowledge that the Proceeds of Crime (Money Laundering) and Terrorism Financing Act and regulations, as they may be amended from time-to-time, apply to the operation of your Account and that we will, from time-to-time, adopt policies and procedures to address the reporting, client identification and recordkeeping requirements of this legislation.

Initial

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I acknowledge receipt of notice that, from time-to-time, reports about my identity may be obtained by CISL from credit reporting agencies for the purposes of ID verification. CISL does not run credit checks on you.

It is the express wish of the parties that this document and all agreements, notices and other communications relating to the operation of the Account be drawn up in English only. Il est de la volonté expresse des parties que ce contrat et tous les documents avis et autres communications qui concernent l'opération des Comptes conjoints soient rédigés en langue anglaise seulement.

COLLECTION AND USE OF A CUSTOMER'S PERSONAL INFORMATION

The collection and use of personal information by CISL is subject to its Policy on protection of personal information (the "Policy"), which complies with applicable laws. You can obtain information on this Policy, and obtain a copy of it, from your Representative or from our website — www.israelbonds.ca

CISL does not disclose personal information about its customers or former customers to anyone, except as permitted by law. A customer's personal information is used for services such as data processing and the preparation or sending of statements. CISL restricts access to personal information to employees, consultants, service providers, companies affiliated by common ownership or control, the State of Israel and the State of Israel's fiscal agent who need to know the information to provide products and services and to process transactions on behalf of its customers. The customer's personal information may be disclosed to securities regulatory authorities that require access to personal information of current and former customers, employees, agents, directors, officers, partners and others that has been collected or used by regulated persons. Securities regulatory authorities may share information with other regulatory and law enforcement agencies.

Subject to requirements set out in applicable laws, CISL collects, uses and conveys personal information on customers for the following purposes: (a) provide the customer with the products purchased; (b) determine if the products purchased by a customer meet the customer's needs; (c) understand a customer's needs; (d) take security measures, if required; (e) comply with laws and regulations in general and tax laws in particular, the latter requiring that a customer's Social Insurance Number be indicated on the tax slips prepared for the purposes of complying with these laws and with the Proceeds of Crime (Money Laundering) and Terrorist Financing Act; (f) comply with foreign laws, if required; (g) detect and prevent fraud; and (h) engage in marketing products and services to you by telephone, fax, and automatic dialing-announcing device, at the numbers you have provided us, or by internet, mail, email or other methods.

Initial

☐

I acknowledge having read the foregoing and authorize the collection, use and disclosure of my personal information in the manner set forth in this consent and in CISL's Policy on protection of personal information, such information to be kept as long as CISL requires it for the purposes set out above, even if I no longer do business with CISL.

CONSENT TO THE ELECTRONIC DELIVERY OF DOCUMENTS

Do you consent to receive marketing material by electronic delivery?

- ☐ SUBSCRIBE TO ALL
- ☐ Rates and new offerings
- ☐ Events
- ☐ Israel Bonds news, updates, or corporate information about us or Israel
- ☐ UNSUBSCRIBE TO ALL

- ☐ Do you consent to receive all trade confirmations, account statements, prospectuses and other CISL disclosures (documents) by electronic delivery, if and when the system for electronic delivery becomes available?

If I checked yes to any question above, I certify that I have the capacity and the technical equipment (computer, telephone or other necessary equipment) enabling me to receive from CISL the documents mentioned above by electronic means, particularly but not exclusively via the Internet, to access the said documents and to read them. I agree that all documents received by electronic means have the same legal validity and shall be binding toward CISL and the customer in the same manner as if they were received in a paper form. The paper versions of the aforementioned documents are available at the request of the customer, either verbal or written. A paper version of the document will also be sent automatically to the customer every time the electronic delivery is impossible for any reason. It is understood that CISL shall not be liable for losses, directly or indirectly incurred by the customer, with respect to any electronic delivery of documents. Without limiting the generality of the previous, CISL shall not be liable in the event of a breakdown of the customer's equipment nor for the interruption of any electronic delivery of documents. CISL has taken all reasonable measures at its disposal to ensure the confidentiality of all electronic delivery of documents and the customer's personal information. However, CISL shall not be liable for losses directly or indirectly incurred by the customer if an unauthorized third party succeeds in penetrating the security systems adopted by CISL or the security system protecting the customer's own equipment. The customer accepts the risks inherent in the communication and delivery of documents by electronic means, notably via the Internet. The customer undertakes to inform CISL of any change with respect to their electronic mail address.

9. Client Signature (Please read and sign.)

I certify that all of the information I have supplied to CISL on this form or otherwise is accurate, complete and truthful. I agree to notify CISL in writing within 30 days of any material changes to the information supplied by me on this form or otherwise. I further acknowledge that CISL shall not be responsible for any changes to such information unless CISL has received written notice of such changes from me. I understand that CISL does not give legal or tax advice.

Client's name

X _____
Signature

Date

10. Where to Send This Form

Completed forms can be sent to:

Residents of all provinces/territories except Quebec:

Israel Bonds / Canada-Israel Securities, Limited
PO Box 434, North York RPO Steeles West,
Toronto, ON, M3J 0J3

Residents of Quebec:

Israel Bonds / Canada-Israël Valeurs Mobilières Limitée
PO Box 56033, Montreal CP Alexis Nihon,
Montreal, QC, H3Z 3G3
Fax : 514.482.9640

For registered mail, courier or in person appointments please call our offices.

For a Canadian office directory, visit israelbonds.ca

**Thank you for taking the time to complete and submit the Account Opening Application.
We value your loyal and trusted business.**